

Program Executive Officer (PEO) C4I and Space

Meeting FORCEnet Requirements: An Acquisition Community perspective

Chris Miller
Director of Modernization
PEO C4I and Space
858-537-0613
Chris.miller@navy.mil

Statement A: Approved for public release; distribution is unlimited (14 NOVEMBER 2005)



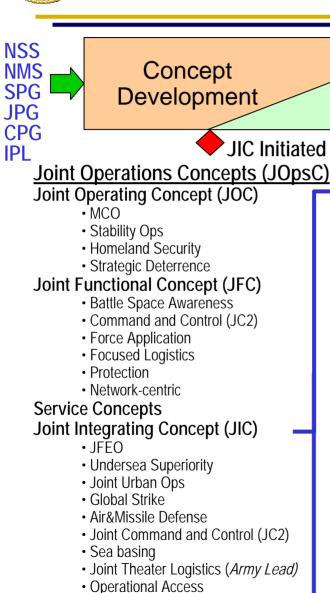
"Requirements" Defined

- In the acquisition community, there are many "requirements" that affect how we do business:
 - Requirements as <u>capability needs</u> => JCIDS
 - Requirements as <u>resources</u> => NCDP/POM
 - Requirements as <u>Fleet feedback</u> => OAGs, Naval Messages
 - Requirements as <u>technical specifications</u> => KPPs, NCOW-RM
 - Requirements as <u>development/procurement guidelines</u> => NESI
 - Requirements as <u>modernization process decisions</u> => C5IMP, SHIPMAIN

"Requirements" is one of the most over-used terms in the DoD and means many things to many people



JCIDS: Concept to Capability



Integrated Architectures

Requirement Determination

JIC Approval

ICD Approval

CDD Approval

Capabilities Requirements

Material Solutions &

Resourcing

- ICD after PIA
- · CDD after AoA Milestone C
- Functional Capability Boards (FCB)
- Joint Capability Boards (JCB)
- Capabilities Production Document (CPD)
- Joint Requirements Oversight Committee

Acquisition

- Acquisition Decisions
- Portfolio Analyses
- Investment Priorities
- CDD
- AoA

Programming

- Portfolio Analyses
- Value Added Analyses
- Investment Priorities
- Navy Planning Guidance (NPG)

IIC Approva

Capabilities-Based Assessment (CBA)

Functional Area Analysis (FAA)

- Task
- Critical Capabilities
- Metrics

Functional Needs Analysis (FNA)



- Gaps
- Shortfalls
- Redundancies

Functional Solutions Analysis (FSA)



- DOTLMPF Analysis/Solution Identification
- Potential Non-Material Approaches, (TTP)
- Potential Material Approaches



Post Independent Analysis (PIA)

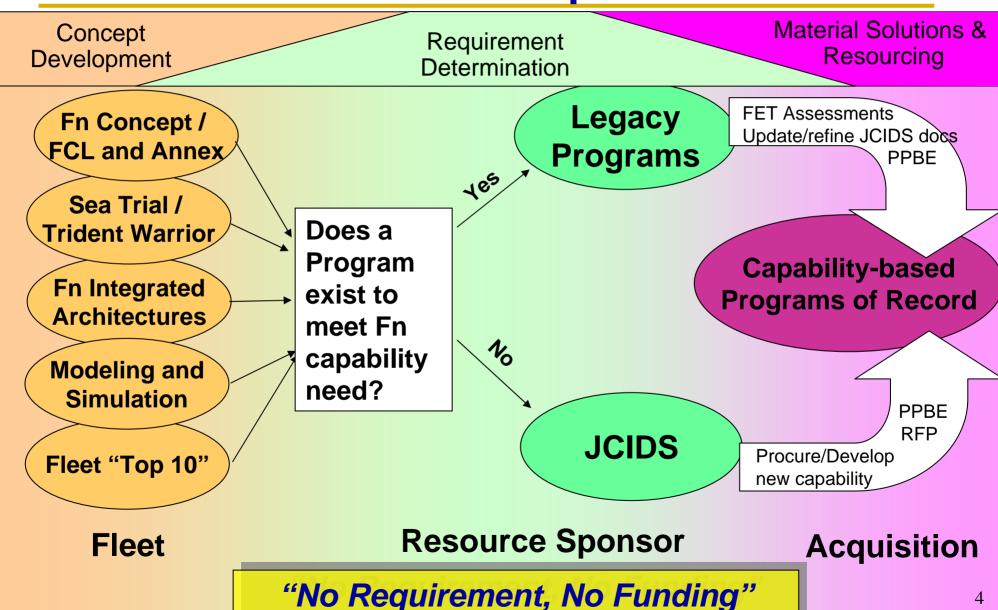


Initial Capability Document (ICD)

Analysis of Alternatives (AoA) Milestone A/B Capabilities Development Document (CDD)

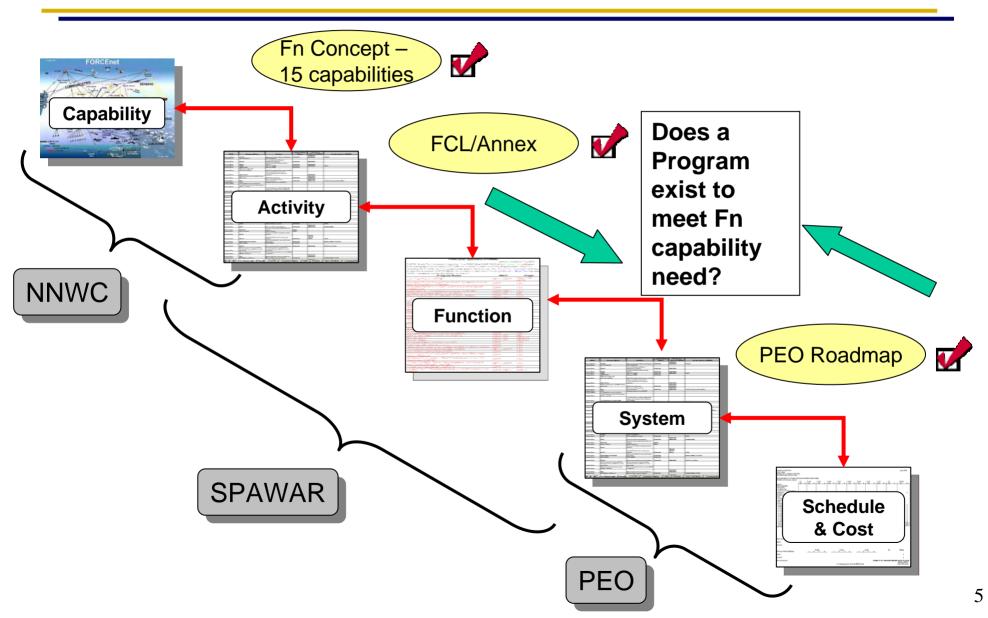


FORCEnet Requirements in the JCIDS process





Capabilities-to-Systems Mapping



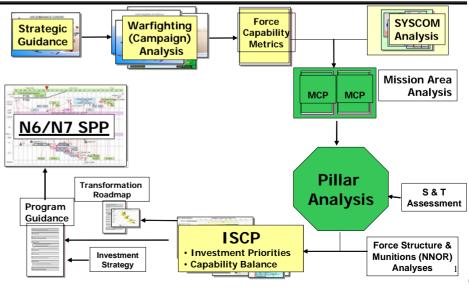


FORCEnet Resource Requirements

- Implementation of FORCEnet requires an integrated set of capability-based programs
- While the concept of FORCEnet is a overarching architecture, that architecture is made up of individual, separately funded programs
- NCDP is the process for getting the correct mix of resources to meet FORCEnet requirements

 the TRIAD must influence the prioritization efforts of this process







Fleet Feedback

- Capability generation/acquisition processes are circular rather than linear
 - As the environment changes, the Fleet assesses where there are gaps in mission capabilities
 - Evolutionary acquisition strategies enable programs to develop, test and field in multiple increments to gain full benefit of user feedback, new technology, etc
- Fleet feedback loop is less formalized than other steps in process
 - Fleet OAGs
 - Formal Naval messages
 - Readiness metrics

Fleet Feedback is important to the Acquisition community, but not *actionable* until it is backed by JCIDS/resources



Technical Requirements



- Operational requirements get translated in the systems engineering process into specific, detailed technical requirements
- Programs use multiple sources of guidance to develop specific technical requirements (e.g., KPPs, Functional specs, etc)
 - FORCEnet Tech Ref Guide
 - FORCEnet A&S
 - NESI
- Programs then must hold their contractors to meeting these technical requirements by explicitly defining the specs in contracting artifacts (e.g., RFPs, SOWs, CDRLs, etc)
- Technical requirements are reviewed and assessed as part of the acquisition cycle during PDRs, CDRs and DRRs



Development and Procurement Requirements – NESI guidance

- Net-Centricity and Open Architecture principles must be included in all documents that support Milestone Decisions.
 - Acquisition Strategy
 - > Information Support Plan
 - > System Engineering Plan
- MDA will consider program compliance with Net-Centric requirements during all formal program and milestone reviews
- Developing compliance and briefing tool to assist programs at milestone decisions.

Example:

Acquisition Strategy: Address the program's plan for implementing component-based N-tier framework for reuse of software components that can be easily composed into new mission capabilities with minimal development effort

 NESI volume 6 provides guidance incorporating requirements into acquisition process



NESI Policy Memo

- On August 5, 2005, Mr. Dennis Bauman signed a PEO C4I policy memo mandating that "All programs designated as FORCEnet Category 3 (Refresh) or 4 (New Start), engaging in future development, migration or significant software maintenance efforts shall adhere to the NESI development standards and ensure the appropriate components are included in system development contracts and program documentation."
- NESI is defined as technical and acquisition implementation guidance for building information systems conforming to the Net-Centric Warfare (NCW) environment

NESI is how PEO C4I ensures its programs are meeting FORCEnet and Open Architecture criteria



Modernization Process Requirements

- SHIPMAIN process enables Fleet prioritization of requirements utilizing a voting process to determine whether alt should be funded and installed
 - Alterations evaluated in a phased process, which reviews concept design and development, integration, and execution feedback and reporting
 - Phases are being aligned with the Acquisition milestones and Budgeting processes
 - Specific to the Surface and Carrier communities

C5IMP Baseline

Tracks install documentation requirements (SID/SCD/ILS), and system inter-dependencies, forecasting ships to be on track with installation and emergency surge readiness



Harmonizing the Requirements

- FORCEnet Requirements/Capabilities and Compliance Policy -OPNAV
 - Supports NCDP by providing validated FORCEnet compliance criteria for program assessment, and supports JCIDS by ensuring that FORCEnet-related Joint/OSD and Navy directives and standards are captured in JCIDS documentation
- FORCEnet Capabilities List (FCL) NNWC
 - Decomposes 15 FORCEnet capabilities defined in Fn Concept into major tasks, associated attributes and examples of possible measures to help guide capability development
- NCW Roadmap PEO C4I
 - Effort to map systems to required capabilities across FYDP, platforms, etc
- FORCEnet/Open Architecture Standards alignment
 - Effort to consolidate standards guidance to provide one set of technical requirements



Summary

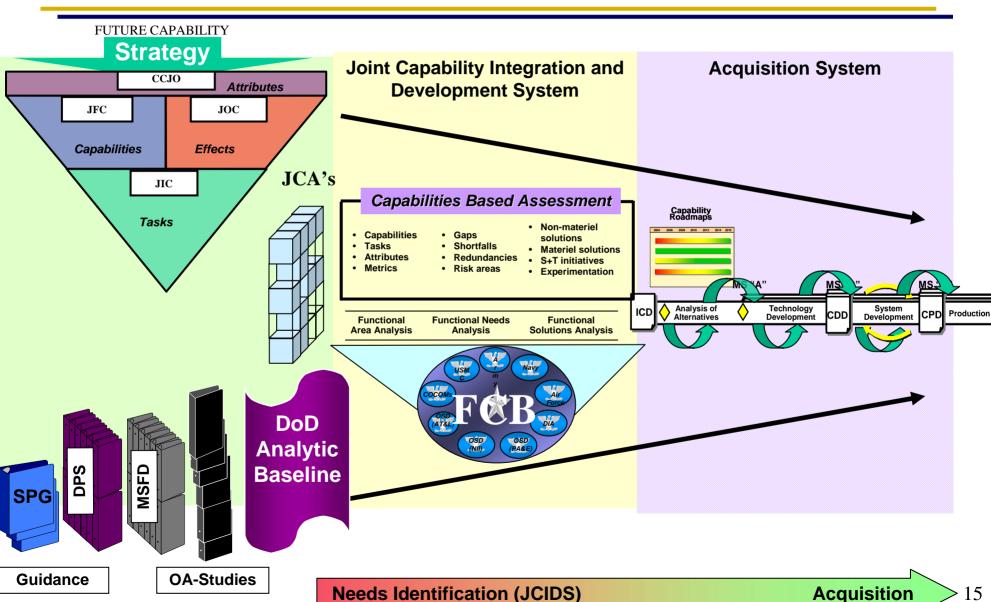
- FORCEnet itself is not a program it requires an integrated set of capabilities-based programs to make up the Family of Systems and Systems of Systems
- Going forward, we must ensure that we are aligned with Joint requirements through the JCIDS process
- TRIAD collaboration is key we need to ensure we have a common vision and use standard language for describing programs and capabilities



BACKUP

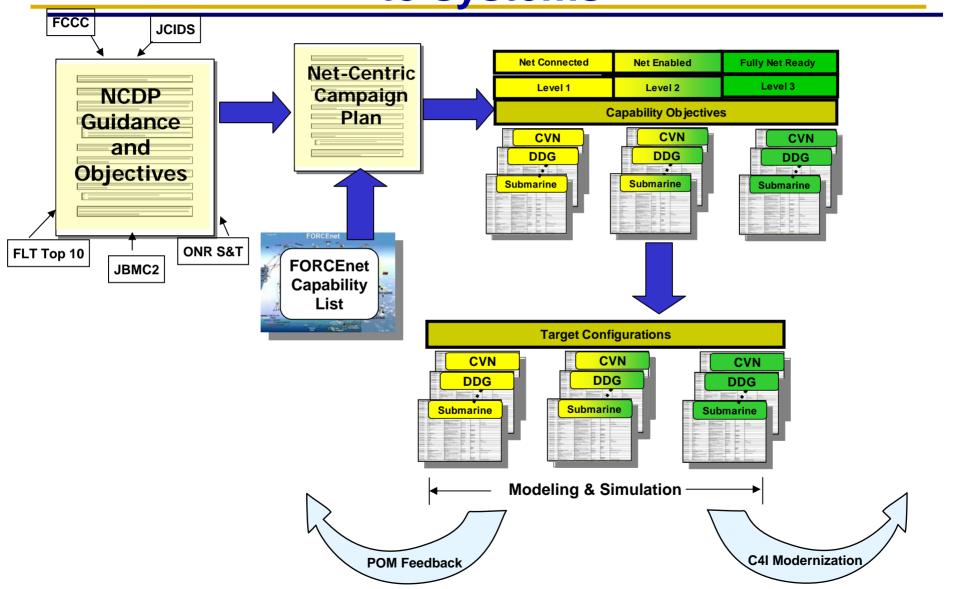


Strategy to Procurement



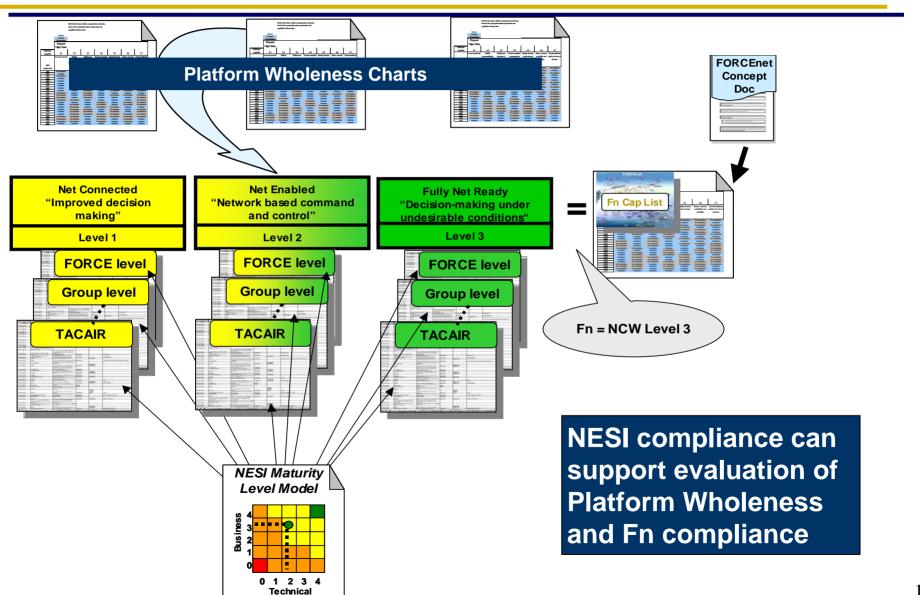


Mapping FORCEnet capabilities to systems





Tie between Platform Wholeness, FCL and NCW levels





FORCEnet / NESI Relationship

- FORCEnet is the Navy's primary effort to integrate operational entities and provide a single architectural framework for Net-centric Warfare = "What"
 - Joint (GIG, NCES, SIAP, ASD NII NCC Checklist, etc)
 - Other services (Army Enterprise Architecture, C2 Constellation)
- NESI is PEO C4I's implementation guidance, technical criteria and reusable software components for program execution = "How"
 - Sibling of PEO IWS's Open Architecture (OA) Design Guidance
 - These two guidance documents are being converged into one authoritative set of Net-centric Warfare implementation guidance for the Navy



Capability Stepping Stones to Fn

Based on Fn Concept Document

Net Connected

"Improved decision making"

- Web-based services
- Improved network reliability and performance
- Increased bandwidth
- Improved coalition operations and data sharing
- Tailorable situational awareness tools
- Standardized data exchange between domains
- Defense in depth

Level 1

Net Enabled

"Network based command and control"

- Multi-path and improved transport reliability
- Dynamic bandwidth mgmt
- Customized applications and data sources
- Common infrastructure and data exchange standards
- Improved data exchange across domains
- Enterprise management for asset analysis and repair
- Initial knowledge management and automated decision aids
- Assured sharing
- Distributed command and control operations
- Modular and open architecture

Level 2

Fully Net Ready

"Decision-making under undesirable conditions"

- Robust, reliable communication to all nodes
- Reliable, accurate and timely information on friendly, environmental, neutral and hostile units
- Storage and retrieval of authoritative data sources
- Robust knowledge management capability with direct access ability to raw data
- •User-defined and shareable SA
- Distributed and collaborative command and control
- Automated decision aids to enhance decision making
- •Information assurance
- Seamless cross-domain access and data exchange.
- Interoperability across all domains and agencies
- •Autonomous and disconnected operations
- Automatic and adaptive diagnostic and repair
- Modular architecture to expedite new capabilities

Level 3

Today FY07 FY10 FY14 ¹⁹



- •IP Reach Back
- Local Area Networks
- Wideband Receive
- •RF Management
- Survivable comms

Level 0



Assessments and Evaluation

- FORCEnet Integrated Tool Suite (FITS)
 - ➤ Tool being designed to leverage Program data as well as Integrated Architectures, Standards, Capabilities, etc
 - Will pull from authoritative sources, supplemented by PM data input
 - Will be used by the FET to conduct FORCEnet assessments
- Modernization
 - Integrated, consolidated fielding plans
 - Complete cost guides for procurement and installation
 - System dependencies matrix
 - Supporting Fleet readiness metrics

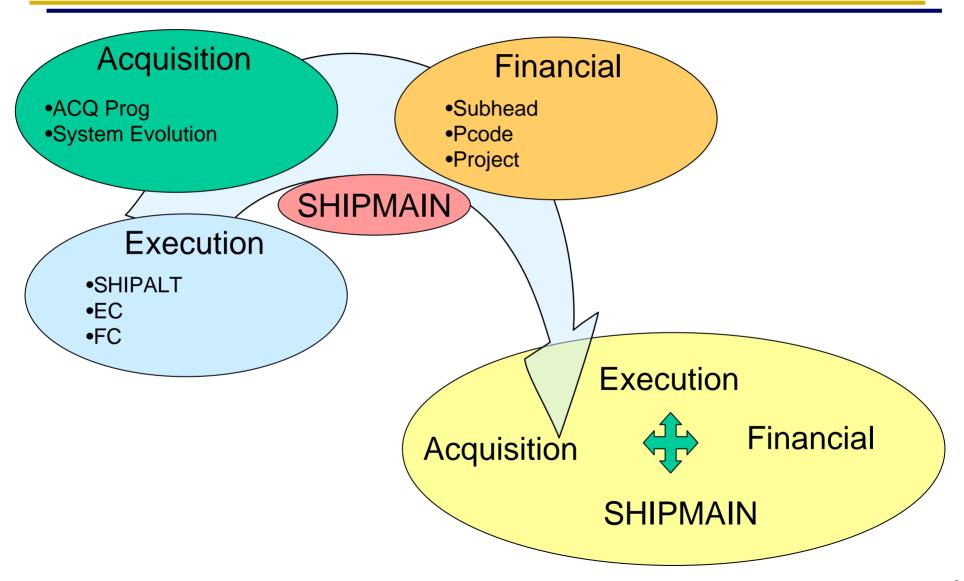


Top Level Guidance

- NCW Campaign Plan effort underway objectives:
 - Establish process to define NCDP, Fn Capability List and NCW Roadmap relationship
 - Identify Key NCW Capabilities
 - Define Capability increments to CSG/ESG and Platform levels
 - Map capabilities to System Increments
 - Lay groundwork to enable modeling of NCW Levels
- FCCC
 - OPNAV checklist to ensure that Program JCIDS docs are FORCEnet compliant
 - Reference for PMs to ensure their programs are considering all applicable guidance when developing/acquiring systems
- FORCEnet Policy for Acquisition Community
 - Defines roles and responsibilities in the area of FORCEnet acquisition



Challenges





Challenges

- Establishment of a common naming convention to discuss systems
- Acquisition, Financial, Engineering and Execution communities all reference systems differently
- Must resolve to allow Capability analysis across our Modernization plans

C4I Modernization Data Structure

